

Remarks on Holger P. Petersson's "Idempotent 2-by-2 matrices"

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Introduction

This note grew out of H. P. Petersson's recent preprint [4], in particular, his Theorem 7.3. Let \mathbf{X} be the scheme of elementary idempotent 2-by-2 matrices over a commutative ring k . There is a natural projection π from \mathbf{X} to the projective line \mathbf{P}_1 . The standard open covering \mathfrak{U} of \mathbf{P}_1 by two affine lines pulls up to an open covering \mathfrak{V} of \mathbf{X} . We show that the groups $\text{Pic}_{\mathfrak{U}}(\mathbf{P}_1)$ and $\text{Pic}_{\mathfrak{V}}(\mathbf{X})$ of all line bundles which are trivial over \mathfrak{U} and \mathfrak{V} are isomorphic to the group $\mathbf{Z}(k)$ of locally constant maps $\text{Spec}(k) \rightarrow \mathbb{Z}$. The universal line bundle \mathbf{L} on \mathbf{X} introduced in [4, Sect. 7] is the pull-back of the tautological bundle of \mathbf{P}_1 and represents one of the two generators of $\mathbb{Z} \subset \mathbf{Z}(k)$.

1. Open coverings of \mathbf{P}_1 and \mathbf{X}

1.1. Notations. We follow the notations used in [4]. For a k -module M , let $M_{\mathbf{a}}$ denote the k -functor $R \mapsto M \otimes R$ ($R \in k\text{-alg}$) and $M_{\mathbf{u}}$ the subfunctor $M_{\mathbf{u}}(R) = \{x \in M_{\mathbf{a}}(R) : x \text{ is unimodular}\}$. If M is finitely generated and projective then $M_{\mathbf{a}}$ is affine with affine algebra the symmetric algebra over the dual M^* of M , and $M_{\mathbf{u}}$ is a quasi-affine finitely presented k -scheme, open in $M_{\mathbf{a}}$. In particular, $k_{\mathbf{a}}^n$ is affine n -space over k and $k_{\mathbf{u}}(R) = R^{\times}$ is the set of units of R .

1.2. The projective line. Recall from [2, I, §1, 3.4] that the projective line \mathbf{P}_1 over k is the functor

$$\mathbf{P}_1(R) = \{L \subset R^2 : L \text{ is a direct summand of rank 1}\} \quad (R \in k\text{-alg}).$$

If $x = \begin{pmatrix} x_1 \\ x_2 \end{pmatrix} \in R^2$ is a unimodular vector, we write as usual $R \cdot x = (x_1 : x_2) \in \mathbf{P}_1(R)$. In general, not every $L \in \mathbf{P}_1(R)$ is free, so $\{(x_1 : x_2) : x \text{ unimodular}\}$ will be a proper subset of $\mathbf{P}_1(R)$. However, equality holds if R is a field. Define open subschemes $\mathbf{U}_i \subset \mathbf{P}_1$ by

$$\mathbf{U}_i(R) = \{(x_1 : x_2) : x_i \in R^{\times}\}.$$

Since $(rx_1 : rx_2) = (x_1 : x_2)$ for all $r \in R^{\times}$, this means

$$\mathbf{U}_1(R) = \{(1 : t) : t \in R\}, \quad \mathbf{U}_2(R) = \{(t : 1) : t \in R\},$$

and in fact, the maps $t \mapsto (1 : t)$ and $t \mapsto (t : 1)$ are isomorphisms $\varphi_i : k_{\mathbf{a}} \xrightarrow{\cong} \mathbf{U}_i$. The subschemes $\mathbf{U}_1, \mathbf{U}_2$ form an open affine covering of \mathbf{P}_1 in the sense of [2, I, §1, 3.10], i.e., for every field $F \in k\text{-alg}$, we have $\mathbf{P}_1(F) = \mathbf{U}_1(F) \cup \mathbf{U}_2(F)$. The intersection $\mathbf{U}_{12} = \mathbf{U}_1 \cap \mathbf{U}_2$ is isomorphic to $k_{\mathbf{u}}$; more precisely, the restrictions φ'_i of φ_i to $k_{\mathbf{u}}$ are isomorphisms $k_{\mathbf{u}} \cong \mathbf{U}_{12}$, and

$$(\varphi_2'^{-1} \circ \varphi_1')(\lambda) = \lambda^{-1}, \tag{1}$$

for all $\lambda \in R^{\times}$, $R \in k\text{-alg}$.

1.3. The morphism $\pi: \mathbf{X} \rightarrow \mathbf{P}_1$ and the subschemes \mathbf{V}_i of \mathbf{X} . There is an obvious morphism $\pi: \mathbf{X} \rightarrow \mathbf{P}_1$ given by

$$\pi(c) = \text{Im}(c), \quad (c \in \mathbf{X}(R), R \in k\text{-alg}),$$

and since by definition, any $L \in \mathbf{P}_1(R)$ admits a complementary submodule L' and the decomposition $R^2 = L \oplus L'$ determines a unique $c \in \mathbf{X}(R)$, it is clear that $\pi(R): \mathbf{X}(R) \rightarrow \mathbf{P}_1(R)$ is surjective, for all $R \in k\text{-alg}$. The fibre of π over $L = \pi(c) \in \mathbf{P}_1(R)$ consists of all idempotents $c' \in \mathbf{X}(R)$ with $\text{Im}(c') = \text{Im}(c)$, equivalently, of all line bundles L' such that $R^2 = L \oplus L'$, or of all splittings σ of the exact sequence

$$0 \longrightarrow L \longrightarrow R^2 \begin{array}{c} \xrightarrow{\text{can}} \\ \xleftarrow{\sigma} \end{array} R^2/L \longrightarrow 0,$$

i.e., $\text{can} \circ \sigma = \text{Id}$. After fixing (non-canonically!) one complement of L , this set may be identified with $\text{Hom}(R^2/L, L)$. Now $R^2/L \cong L^*$ by [4, Lemma 5.2], so we see that the fibre of π over L is an affine space with associated module of translations $\text{Hom}(L^*, L) \cong L^{\otimes 2}$. Let us put

$$\mathbf{V}_i = \pi^{-1}(\mathbf{U}_i) \subset \mathbf{X}.$$

For $c = \begin{pmatrix} \alpha & \beta \\ \gamma & \delta \end{pmatrix} \in \mathbf{X}(R)$ let $z_i(c)$ be the i -th row of c . Then

$$c \in \mathbf{V}_i(R) \iff z_i(c) \text{ is unimodular.} \quad (1)$$

Indeed, $\text{Im}(c) = \pi(c) = R \begin{pmatrix} \alpha \\ \gamma \end{pmatrix} + R \begin{pmatrix} \beta \\ \delta \end{pmatrix}$. Hence $\pi(c) \in \mathbf{U}_1(R)$ implies there exist $r, s \in R$ such that $r\alpha + s\beta = 1$, so $z_1(c)$ is unimodular. Conversely, let this be the case and put $\lambda := r\gamma + s\delta$. Then $\gamma = (r\alpha + s\beta)\gamma = \alpha(r\gamma + s\delta)$ (because $\beta\gamma = \alpha\delta$) $= \alpha\lambda$, so $\begin{pmatrix} \alpha \\ \gamma \end{pmatrix} = \alpha \begin{pmatrix} 1 \\ \lambda \end{pmatrix}$, and similarly the second column of c is a multiple of $\begin{pmatrix} 1 \\ \lambda \end{pmatrix}$, showing $\text{Im}(c) = R \cdot \begin{pmatrix} 1 \\ \lambda \end{pmatrix} \in \mathbf{U}_1(R)$. The proof for the case $i = 2$ is analogous.

1.4. Lemma. (a) *The \mathbf{V}_i are open subschemes covering \mathbf{X} .*

(b) *The maps*

$$\psi_1: k_{\mathbf{a}}^2 \rightarrow \mathbf{V}_1, \quad (\lambda, \beta) \mapsto \begin{pmatrix} 1 - \lambda\beta & \beta \\ \lambda(1 - \lambda\beta) & \lambda\beta \end{pmatrix}, \quad (1)$$

$$\psi_2: k_{\mathbf{a}}^2 \rightarrow \mathbf{V}_2, \quad (\mu, \gamma) \mapsto \begin{pmatrix} \mu\gamma & \mu(1 - \mu\gamma) \\ \gamma & 1 - \mu\gamma \end{pmatrix}, \quad (2)$$

are isomorphisms making the diagrams

$$\begin{array}{ccc} k_{\mathbf{a}}^2 & \xrightarrow[\cong]{\psi_i} & \mathbf{V}_i \\ \text{pr}_1 \downarrow & & \downarrow \pi \\ k_{\mathbf{a}} & \xrightarrow[\varphi_i]{\cong} & \mathbf{U}_i \end{array} \quad (3)$$

commutative.

(c) *The intersection $\mathbf{V}_{12} := \mathbf{V}_1 \cap \mathbf{V}_2$ is the open subscheme of all $c \in \mathbf{X}(R)$ for which both rows are unimodular. We have $\psi_i^{-1}(\mathbf{V}_{12}) = k_{\mathbf{u}} \times k_{\mathbf{a}}$. The ψ_i restrict to isomorphisms $\psi'_i: k_{\mathbf{u}} \times k_{\mathbf{a}} \xrightarrow{\cong} \mathbf{V}_{12}$, and the change of coordinates $\phi = \psi'_2{}^{-1} \circ \psi'_1: k_{\mathbf{u}} \times k_{\mathbf{a}} \rightarrow k_{\mathbf{u}} \times k_{\mathbf{a}}$ is given by*

$$\phi(\lambda, \beta) = (\lambda^{-1}, \lambda(1 - \lambda\beta)) \quad (4)$$

for all $(\lambda, \beta) \in R^\times \times R$, $R \in k\text{-alg}$, and satisfies

$$\phi \circ \phi = \text{Id}. \quad (5)$$

Proof. (a) Since \mathbf{V}_i is the inverse image of the open subschemes \mathbf{U}_i , it is open in \mathbf{X} . (Alternatively, \mathbf{V}_i is the inverse image of $(k^2)_{\mathbf{u}}$ under the morphism $z_i: \mathbf{X} \rightarrow k_{\mathbf{a}}^2$, by 1.3.1). If R is a field, at least one row of $c \in \mathbf{X}(R)$ is non-zero, which proves the covering statement.

(b) It is obvious from (1) that ψ_1 takes values in \mathbf{V}_1 . Conversely, assume that $c = \begin{pmatrix} \alpha & \beta \\ \gamma & \delta \end{pmatrix} \in \mathbf{V}_1(R)$. The transpose of c is in $\mathbf{X}(R)$ along with c , so the span of the rows of c is a direct summand of rank 1 of the dual $(R^2)^*$. Since (α, β) is unimodular by 1.3.1, there exists a unique $\lambda \in R$ such that $(\gamma, \delta) = \lambda(\alpha, \beta)$. Now one shows easily, using the fact that $\text{tr}(c) = 1$, that $c \mapsto (\lambda, \beta)$ is the inverse map of ψ_1 . From (1) it is clear that the columns v_1, v_2 of $\psi_1(\lambda, \beta)$ are multiples of $\begin{pmatrix} 1 \\ \lambda \end{pmatrix}$, and that $\begin{pmatrix} 1 \\ \lambda \end{pmatrix} = v_1 + \lambda v_2$. This proves $(\pi \circ \psi_1)(\lambda, \beta) = (1: \lambda) = \varphi_1(\lambda)$, so (3) commutes. The proof for ψ_2 is analogous.

(c) Since $\mathbf{V}_{12} = \pi^{-1}(\mathbf{U}_{12})$, (3) and 1.2.1 imply $\psi_i^{-1}(\mathbf{V}_{12}) = \text{pr}_1^{-1}(\varphi_i^{-1}(\mathbf{U}_{12})) = k_{\mathbf{u}} \times k_{\mathbf{a}}$. Now (4) follows from (1) and (2). These formulas show also that $\phi^{-1}(\mu, \gamma) = (\psi_1'^{-1} \circ \psi_2')(\mu, \gamma) = (\mu^{-1}, \mu(1 - \mu\gamma))$. Thus $\phi^{-1} = \phi$, proving (5).

1.5. Remarks. (i) By 1.4.4, the second component of ϕ is an affine, but not a linear function of β , in accordance with the fact that \mathbf{X} is an affine, but not a vector bundle over \mathbf{P}_1 . The occurrence of the factor λ^2 at β corresponds to the fact that the fibre of π over L is isomorphic to the affine space determined by $L^{\otimes 2}$, as remarked in 1.3.

(ii) Formula 1.4.5 is the analogue of the fact that, by 1.2.1, the change of coordinates $\varphi_2^{-1} \circ \varphi_1$ in $k[\mathbf{U}_{12}] \cong k[\mathbf{t}, \mathbf{t}^{-1}]$ is inversion $\lambda \mapsto \lambda^{-1}$ which obviously has period two. This will be important later in the proof of Theorem 4.2.

(iii) There is a second projection $\pi': \mathbf{X} \rightarrow \mathbf{P}_1$ given by $\pi'(c) = \text{Ker}(c)$. Since an element $c \in \mathbf{X}(R)$ can be identified with the decomposition $R^2 = \text{Im}(c) \oplus \text{Ker}(c)$, it is clear that (π, π') is an isomorphism of \mathbf{X} onto the open subscheme $\mathbf{W} \subset \mathbf{P}_1 \times \mathbf{P}_1$ given by $\mathbf{W}(R) = \{(L, M) \in \mathbf{P}_1(R)^2 : R^2 = L \oplus M\}$. If $R = K$ is a field, then $(L, M) \in \mathbf{W}(K)$ if and only if $L \neq M$, so $\mathbf{W}(K)$ is the complement of the diagonal in $\mathbf{P}_1(K)^2$.

(iv) There is no section of $\pi: \mathbf{X} \rightarrow \mathbf{P}_1$. Indeed, assume to the contrary that $\sigma: \mathbf{P}_1 \rightarrow \mathbf{X}$ satisfies $\pi \circ \sigma = \text{Id}$. Then $\sigma_i = \sigma|_{\mathbf{U}_i}: \mathbf{U}_i \rightarrow \mathbf{V}_i$ are sections of $\pi|_{\mathbf{V}_i}$. Identify the affine algebras $k[\mathbf{U}_i]$ with the polynomial ring $k[\mathbf{t}]$ by means of φ_i . Then $\sigma_i(\varphi_i(\mathbf{t})) = \psi_i(\mathbf{t}, f_i(\mathbf{t}))$ where the $f_i(\mathbf{t})$ are polynomials in \mathbf{t} , and 1.4.4 and 1.2.1 imply

$$f_2(\mathbf{t}^{-1}) = \mathbf{t} \cdot (1 - \mathbf{t}f_1(\mathbf{t}))$$

in the Laurent polynomial ring $k[\mathbf{t}, \mathbf{t}^{-1}] \cong k[\mathbf{U}_{12}]$ which is impossible.

Let \mathfrak{U} (resp. \mathfrak{V}) be the open covering of \mathbf{P}_1 (resp. \mathbf{X}) given by \mathbf{U}_1 and \mathbf{U}_2 (resp. \mathbf{V}_1 and \mathbf{V}_2). Our aim is to determine the subgroups $\text{Pic}_{\mathfrak{U}}(\mathbf{P}_1)$ and $\text{Pic}_{\mathfrak{V}}(\mathbf{X})$ of the respective Picard groups consisting of all (isomorphism classes of) line bundles whose restriction to the \mathbf{U}_i (resp. \mathbf{V}_i) is trivial. We begin by constructing the standard examples of such bundles.

2. The line bundles \mathbf{E} and \mathbf{L}

2.1. The tautological bundle \mathbf{E} over \mathbf{P}_1 is the line bundle whose fibre over a point $L \in \mathbf{P}_1(R)$ is the R -module L itself, whence the name “tautological”. More formally, it is the k -functor

$$\mathbf{E}(R) = \{(L, x) : L \in \mathbf{P}_1(R), x \in L\} \quad (R \in k\text{-alg}),$$

with projection $\text{pr}_1: \mathbf{E} \rightarrow \mathbf{P}_1$. The sheaf \mathcal{E} of sections of \mathbf{E} is the sheaf usually denoted $\mathcal{O}_{\mathbf{P}_1}(-1)$. Now let $\mathbf{L} = \pi^*(\mathbf{E})$ be the inverse image of \mathbf{E} on \mathbf{X} under π , that is, the fibre product $\mathbf{L} = \mathbf{X} \times_{\mathbf{P}_1} \mathbf{E}$. Thus, for every $R \in k\text{-alg}$, $\mathbf{L}(R)$ is the set of all pairs $(c, (L, x))$ where $c \in \mathbf{X}(R)$, $(L, x) \in \mathbf{E}(R)$ and $\pi(c) = L$. Since L is already determined by c , we can and will identify \mathbf{L} with the functor

$$\mathbf{L}(R) = \{(c, x) : c \in \mathbf{X}(R), x \in \text{Im}(c)\} \quad (R \in k\text{-alg}).$$

Then the following diagram is commutative and Cartesian:

$$\begin{array}{ccc} \mathbf{L} & \xrightarrow{\eta} & \mathbf{E} \\ \text{pr}_1 \downarrow & & \downarrow \text{pr}_1 \\ \mathbf{X} & \xrightarrow{\pi} & \mathbf{P}_1 \end{array}$$

where $\eta(c, x) = (\pi(c), x)$. Denote by \mathcal{L} the sheaf of sections of \mathbf{L} .

Now let $A = k[\mathbf{X}]$ be the affine algebra of \mathbf{X} , thus $A = k[\alpha, \beta, \gamma, \delta]$, subject to the relations $\alpha\delta = \beta\gamma$ and $\alpha + \delta = 1$. Let

$$\mathbf{e} = \begin{pmatrix} \alpha & \beta \\ \gamma & \delta \end{pmatrix} \in \mathbf{X}(A)$$

be the “generic” element of \mathbf{X} , corresponding to the identity map under the identification of $\mathbf{X}(R)$ with $\text{Hom}_{k\text{-alg}}(A, R)$, for all $R \in k\text{-alg}$. Any $c \in \mathbf{X}(R)$ determines an invertible R -module $L = \text{Im}(c) \subset R^2$. In particular, $\text{Im}(\mathbf{e}) \subset A^2$ is an invertible A -module; this is the module denoted L_e in [4, Sect. 7], and it is related to \mathcal{L} as follows.

2.2. Lemma. *$\text{Im}(\mathbf{e})$ is canonically isomorphic to the A -module $\mathcal{L}(\mathbf{X})$ of global sections of \mathbf{L} .*

Proof. An element $s \in \mathcal{L}(\mathbf{X})$, i.e., a section $s: \mathbf{X} \rightarrow \mathbf{L}$ of $\text{pr}_1: \mathbf{L} \rightarrow \mathbf{X}$, is of the form $s(c) = (c, v(c))$ where $v(c) \in \text{Im}(c)$, for all $c \in \mathbf{X}(R)$, $R \in k\text{-alg}$. In particular, $v(\mathbf{e}) \in \text{Im}(\mathbf{e})$, so we obtain a map $\mathcal{L}(\mathbf{X}) \rightarrow \text{Im}(\mathbf{e})$ sending s to $v(\mathbf{e})$. Conversely, let $w \in \text{Im}(\mathbf{e})$ and define a section $s: \mathbf{X} \rightarrow \mathbf{L}$ as follows. For $R \in k\text{-alg}$ and $c \in \mathbf{X}(R)$, let $\varrho_c: A \rightarrow R$ be the k -algebra homomorphism corresponding to c . Then $s(c) := (c, \varrho_c(w)) \in \mathbf{L}(R)$ defines a section $s: \mathbf{X} \rightarrow \mathbf{L}$. One sees immediately that the constructions are inverse to each other.

2.3. There are sections $s_i \in \mathcal{E}(\mathbf{U}_i)$ given by

$$s_1(\varphi_1(\lambda)) = ((1: \lambda), \begin{pmatrix} 1 \\ \lambda \end{pmatrix}), \quad s_2(\varphi_2(\mu)) = ((\mu: 1), \begin{pmatrix} \mu \\ 1 \end{pmatrix}) \quad (\lambda, \mu \in R, R \in k\text{-alg}).$$

These sections “vanish nowhere”, i.e., they form bases for the $k[\mathbf{U}_i]$ -modules $\mathcal{E}(\mathbf{U}_i)$ of sections of \mathbf{E} over \mathbf{U}_i , so \mathcal{E} represents an element of $\text{Pic}_{\mathcal{U}}(\mathbf{P}_1)$. The sections s_i are related on \mathbf{U}_{12} by

$$s_2(\varphi_2(\lambda^{-1})) = s_1(\varphi_1(\lambda)) \cdot \lambda^{-1} \quad (\lambda \in R^\times, R \in k\text{-alg}), \quad (1)$$

since $\varphi_1(\lambda) = \varphi_2(\mu)$ if and only if $\lambda\mu = 1$ by 1.2.1, and $\begin{pmatrix} \mu \\ 1 \end{pmatrix} = \mu \begin{pmatrix} 1 \\ \mu^{-1} \end{pmatrix} = \mu \begin{pmatrix} 1 \\ \lambda \end{pmatrix}$. On the other hand, it is well-known (and follows easily from (1)) that zero is the only section of \mathbf{E} over all of \mathbf{P}_1 .

The sections s_i may be lifted to nowhere vanishing sections $\tilde{s}_i \in \mathcal{L}(\mathbf{V}_i)$ by

$$\begin{aligned}\tilde{s}_1(c) &= \left(c, \begin{pmatrix} 1 \\ \lambda \end{pmatrix}\right) \quad \text{for } c = \psi_1(\lambda, \beta) \in \mathbf{V}_1(R), \\ \tilde{s}_2(c) &= \left(c, \begin{pmatrix} \mu \\ 1 \end{pmatrix}\right) \quad \text{for } c = \psi_2(\mu, \gamma) \in \mathbf{V}_2(R),\end{aligned}$$

Hence \mathbf{L} represents an element of $\text{Pic}_{\mathcal{G}}(\mathbf{X})$. The sections \tilde{s}_i are related on \mathbf{V}_{12} in the same way as before:

$$\tilde{s}_2(c) = \tilde{s}_1(c) \cdot \lambda^{-1} \quad (2)$$

for $c = \psi_1(\lambda, \beta) = \psi_2(\mu, \gamma) \in \mathbf{V}_{12}(R)$ since $\mu = \lambda^{-1}$ by 1.4.4.

3. Auxiliary results on Laurent polynomials over rings

3.1. Recall the constant k -group scheme \mathbf{Z} defined by the integers: $\mathbf{Z}(R)$ is the set of all locally constant maps $\mathfrak{d}: \text{Spec}(R) \rightarrow \mathbb{Z}$ with the obvious (additive) group structure. The elements of $\mathbf{Z}(R)$ are in bijection with families $\varepsilon = (\varepsilon_n)_{n \in \mathbb{Z}}$ of orthogonal idempotents of R with $\varepsilon_n \neq 0$ for only finitely many n , and $\sum \varepsilon_n = 1$, by means of the relations

$$\mathfrak{d}(\mathfrak{p}) = n \quad \iff \quad \varepsilon_n(\mathfrak{p}) = 1_{\kappa(\mathfrak{p})}, \quad (1)$$

for all $\mathfrak{p} \in \text{Spec}(R)$, $R \in k\text{-alg}$. Here we use the notation $r(\mathfrak{p})$ for the canonical image of an element $r \in R$ in the quotient field $\kappa(\mathfrak{p})$ of R/\mathfrak{p} . Then the group law in $\mathbf{Z}(R)$ is described (multiplicatively) by

$$(\varepsilon \cdot \varepsilon')_n = \sum_{l+m=n} \varepsilon_l \varepsilon'_m, \quad (2)$$

so the inverse of ε is $\varepsilon^{-1} = (\varepsilon_{-n})_{n \in \mathbb{Z}}$, and the unit element of $\mathbf{Z}(R)$, i.e., the constant map $0: S \rightarrow \mathbb{Z}$, corresponds to the family $\varepsilon_n = \begin{cases} 1 & \text{if } n = 0 \\ 0 & \text{if } n \neq 0 \end{cases}$. Let $R[\mathbf{t}, \mathbf{t}^{-1}]$ be the Laurent polynomial ring in one variable \mathbf{t} over R . Then (2) implies that there is a group monomorphism

$$\mathbf{Z}(R) \rightarrow R[\mathbf{t}, \mathbf{t}^{-1}]^\times, \quad \mathfrak{d} \mapsto \mathbf{t}^\mathfrak{d} := \sum_{n \in \mathbb{Z}} \varepsilon_n \mathbf{t}^n.$$

3.2. Lemma. *Let R be a commutative ring and \mathbf{t} an indeterminate. Denote by $\text{Nil}(R)$ the nil radical of R .*

(a) *A polynomial $f(\mathbf{t}) = \sum_{i \geq 0} r_i \mathbf{t}^i$ is a unit in $R[\mathbf{t}]$ if and only if $r_0 \in R^\times$ and $r_i \in \text{Nil}(R)$ for all $i > 0$.*

(b) *A Laurent polynomial $g \in R[\mathbf{t}, \mathbf{t}^{-1}]$ is a unit in $R[\mathbf{t}, \mathbf{t}^{-1}]$ if and only if there exists an element $\mathfrak{d} \in \mathbf{Z}(R)$, a unit $u \in R^\times$ and a nilpotent $h \in R[\mathbf{t}, \mathbf{t}^{-1}]$ such that*

$$g = u \mathbf{t}^\mathfrak{d} + h. \quad (1)$$

The element \mathfrak{d} is uniquely determined by g , called the degree of g , and the map

$$\text{deg}: R[\mathbf{t}, \mathbf{t}^{-1}]^\times \rightarrow \mathbf{Z}(R), \quad \text{deg}(u \mathbf{t}^\mathfrak{d} + h) := \mathfrak{d},$$

is a group homomorphism.

Note, however, that u and h are not uniquely determined by g .

Proof. (a) is evident if R is a field. In general, consider $r \in R$ and $\mathfrak{p} \in S := \text{Spec}(R)$. Then $r \in R^\times \iff r(\mathfrak{p}) \neq 0$ for all $\mathfrak{p} \in S$, and $r \in \text{Nil}(R) \iff r(\mathfrak{p}) = 0$, for all $\mathfrak{p} \in S$. This proves (a).

(b) Clearly an element as in (1) is a unit in $R[\mathbf{t}, \mathbf{t}^{-1}]$. Conversely, let $g \in R[\mathbf{t}, \mathbf{t}^{-1}]^\times$, and consider again first the case where R is a field. We leave it to the reader to show that $g = a_n \mathbf{t}^n$ is a non-zero monomial.

Now let R be arbitrary, write $g = \sum_{n \in \mathbb{Z}} r_n \mathbf{t}^n$ where $r_n \in R$, and let $\mathfrak{p} \in S$. By applying the above to $g \otimes \kappa(\mathfrak{p})$, we see that there exists a unique index $n =: \mathfrak{d}(\mathfrak{p}) \in \mathbb{Z}$ such that $r_n(\mathfrak{p}) \neq 0$. The map $\mathfrak{d}: S \rightarrow \mathbb{Z}$ thus defined is locally constant. Indeed, if $\mathfrak{d}(\mathfrak{p}_0) = n$ then $r_n(\mathfrak{p}_0) \neq 0$ and hence $r_n(\mathfrak{p}) \neq 0$ for all \mathfrak{p} in the basic open neighbourhood U of \mathfrak{p}_0 in S defined by r_n . Since $r_j(\mathfrak{p}) = 0$ for all other $j \neq n$, the function \mathfrak{d} is constant equal to n on U . This proves $\mathfrak{d} \in \mathbf{Z}(R)$.

Let $(\varepsilon_n)_{n \in \mathbb{Z}}$ be the family of idempotents corresponding to \mathfrak{d} . Then $(r_n(1 - \varepsilon_n))(\mathfrak{p}) = 0$ for all $\mathfrak{p} \in S$. Indeed, if $\mathfrak{d}(\mathfrak{p}) = n$ then $(1 - \varepsilon_n)(\mathfrak{p}) = 0$ by 3.1.1, while if $\mathfrak{d}(\mathfrak{p}) \neq n$, then $r_n(\mathfrak{p}) = 0$ by definition of \mathfrak{d} . Hence $c_n := r_n(1 - \varepsilon_n) \in \text{Nil}(R)$. Moreover, $u := \sum_{n \in \mathbb{Z}} r_n \varepsilon_n \in R^\times$ because, for all $\mathfrak{p} \in S$, by definition of \mathfrak{d} ,

$$u(\mathfrak{p}) = \sum_{n \in \mathbb{Z}} r_n(\mathfrak{p}) \varepsilon_n(\mathfrak{p}) = r_{\mathfrak{d}(\mathfrak{p})}(\mathfrak{p}) \neq 0.$$

Now $u \varepsilon_n = r_n \varepsilon_n$ by orthogonality of the ε_n , and hence

$$g = \sum_{n \in \mathbb{Z}} r_n \varepsilon_n \mathbf{t}^n + \sum_{n \in \mathbb{Z}} c_n \mathbf{t}^n = u \mathbf{t}^{\mathfrak{d}} + h$$

where $h = \sum c_n \mathbf{t}^n$ is nilpotent, being a finite sum of the nilpotent monomials $c_n \mathbf{t}^n$. This proves (1). Uniqueness of $\mathfrak{d} = \deg(g)$ is clear since $g \otimes \mathbf{1}_{\kappa(\mathfrak{p})} = u(\mathfrak{p}) \cdot \mathbf{t}^{\mathfrak{d}(\mathfrak{p})}$. Finally, suppose that $g' = u' \mathbf{t}^{\mathfrak{d}'} + h'$ is a second element of $R[\mathbf{t}, \mathbf{t}^{-1}]^\times$. Then

$$gg' = (u \mathbf{t}^{\mathfrak{d}} + h)(u' \mathbf{t}^{\mathfrak{d}'} + h') \equiv uu' \mathbf{t}^{\mathfrak{d} + \mathfrak{d}'} \pmod{\text{Nil}(R[\mathbf{t}, \mathbf{t}^{-1}])}$$

since $\mathfrak{d} \mapsto \mathbf{t}^{\mathfrak{d}}$ is a group homomorphism, showing \deg is a homomorphism.

3.3. Lemma. *There is an exact sequence*

$$1 \longrightarrow R^\times \xrightarrow{\Delta} R[\mathbf{t}]^\times \times R[\mathbf{t}]^\times \xrightarrow{\partial} R[\mathbf{t}, \mathbf{t}^{-1}]^\times \xrightarrow{\deg} \mathbf{Z}(R) \longrightarrow 0$$

where $\Delta(r) = (r, r)$ is the diagonal map, $\partial(f_1(\mathbf{t}), f_2(\mathbf{t})) = f_1(\mathbf{t}) \cdot f_2(\mathbf{t}^{-1})^{-1}$ and \deg is as in Lemma 3.2(b).

Proof. Clearly $\partial(f_1, f_2) = 1$ if and only if $f_1(\mathbf{t}) = f_2(\mathbf{t}^{-1})$ if and only if $f_1 = f_2 = r \in R^\times$. Next, $\text{Im}(\partial) \subset \text{Ker}(\deg)$ because $\deg(f_1(\mathbf{t})) = 0 = \deg(f_2(\mathbf{t}^{-1}))$ for $f_i \in R[\mathbf{t}]^\times$ and \deg is a homomorphism. Also, \deg is surjective since the map $\mathfrak{d} \mapsto \mathbf{t}^{\mathfrak{d}}$ is even a section of \deg . Thus it remains to prove the inclusion $\text{Ker}(\deg) \subset \text{Im}(\partial)$.

By Lemma 3.2(b), an invertible Laurent polynomial of degree zero has the form $g(\mathbf{t}) = u \cdot 1 + h(\mathbf{t})$ where $u \in R^\times$ and $h(\mathbf{t}) = \sum_{i \geq -n} c_i \mathbf{t}^i$ for some $n \in \mathbb{N}$, with $c_i \in \text{Nil}(R)$. Hence $G(\mathbf{t}) := \mathbf{t}^n g(\mathbf{t}) \in R[\mathbf{t}]$. Denote the canonical maps $R \rightarrow \bar{R} = R/\text{Nil}(R)$ and $R[\mathbf{t}] \rightarrow \bar{R}[\mathbf{t}]$ by a bar. Then $\bar{G}(\mathbf{t}) = \mathbf{t}^n \bar{u} = \bar{P}(\mathbf{t}) \cdot \bar{Q}(\mathbf{t})$ where $\bar{P}(\mathbf{t}) = \mathbf{t}^n$ is monic and $\bar{Q}(\mathbf{t}) = \bar{u} \in \bar{R}^\times$. Clearly \bar{P} and \bar{Q} are strongly relatively prime in $\bar{R}[\mathbf{t}]$, so by Hensel's Lemma [1, III, §4.3, Theorem 1], applied to the discretely topologized ring $A = R$ and the ideal $\mathfrak{m} = \text{Nil}(R)$, the polynomials \bar{P}, \bar{Q} lift uniquely to polynomials $P, Q \in R[\mathbf{t}]$, P monic, such that $G = P \cdot Q$. Write $P(\mathbf{t}) = \mathbf{t}^m + a_1 \mathbf{t}^{m-1} + \dots + a_m$ and $Q(\mathbf{t}) = b_0 + b_1 \mathbf{t} + \dots$. Then $\bar{P}(\mathbf{t}) = \mathbf{t}^n$ and $\bar{Q} = \bar{u}$ shows $m = n$, $b_0 \in R^\times$ and $a_i, b_i \in \text{Nil}(R)$ for $i > 0$. By Lemma 3.2(a), the polynomial $F(\mathbf{t}) := 1 + a_1 \mathbf{t} + \dots + a_n \mathbf{t}^n = \mathbf{t}^n P(\mathbf{t}^{-1})$ belongs to $R[\mathbf{t}]^\times$. Now put $f_1(\mathbf{t}) := Q(\mathbf{t})$ and $f_2(\mathbf{t}) := F(\mathbf{t})^{-1}$. Then

$$f_1(\mathbf{t}) f_2(\mathbf{t}^{-1})^{-1} = Q(\mathbf{t}) F(\mathbf{t}^{-1}) = Q(\mathbf{t}) \mathbf{t}^{-n} P(\mathbf{t}) = \mathbf{t}^{-n} G(\mathbf{t}) = g(\mathbf{t}),$$

as desired.

4. Determination of $\text{Pic}_{\mathfrak{U}}(\mathbf{P}_1)$ and $\text{Pic}_{\mathfrak{X}}(\mathbf{X})$.

4.1. Theorem. *There is a natural isomorphism $\Phi: \text{Pic}_{\mathfrak{U}}(\mathbf{P}_1) \xrightarrow{\cong} \mathbf{Z}(k)$ mapping the tautological bundle to $-1 \in \mathbf{Z} \subset \mathbf{Z}(k)$ as follows.*

Identify $k[\mathbf{U}_i]$ with the polynomial ring $k[\mathbf{t}]$ by means of the isomorphisms φ_i of 1.2 and identify $k[\mathbf{U}_{12}]$ with the Laurent polynomial ring $k[\mathbf{t}, \mathbf{t}^{-1}]$ by means of the open embedding $\mathbf{U}_{12} \subset \mathbf{U}_1$. Let \mathcal{M} be a representative of an element $[\mathcal{M}] \in \text{Pic}_{\mathfrak{U}}(\mathbf{P}_1)$, and let $s_i \in \mathcal{M}(\mathbf{U}_i)$ be sections trivializing \mathcal{M} over \mathbf{U}_i , so that $s_2 = s_1 \cdot g_{12}$ on \mathbf{U}_{12} where $g_{12} \in k[\mathbf{t}, \mathbf{t}^{-1}]^\times$. Then the element $\deg(g_{12}) \in \mathbf{Z}(k)$ depends only on the isomorphism class of \mathcal{M} , and $[\mathcal{M}] \mapsto \deg(g_{12})$ is the desired isomorphism.

Proof. By standard facts, computing $\text{Pic}_{\mathfrak{U}}(\mathbf{P}_1)$ amounts to computing the Čech cohomology group $H^1 = H^1(\mathfrak{U}, \mathcal{F})$ of the sheaf $\mathcal{F} = \mathcal{O}_{\mathbf{P}_1}^\times$ with respect to the covering \mathfrak{U} . Recall that $H^1 = Z^1/B^1$ where $Z^1 = Z^1(\mathfrak{U}, \mathcal{F})$ is the group of Čech 1-cocycles $(g_{ij}) \in \mathcal{F}(\mathbf{U}_i \cap \mathbf{U}_j)$ and $B^1 = \partial^0(C^0)$ is the group of coboundaries.

Since \mathfrak{U} has only two elements, we have a group isomorphism $Z^1 \cong \mathcal{F}(\mathbf{U}_{12})$ sending (g_{ij}) to g_{12} . Note that this isomorphism is not unique; $(g_{ij}) \mapsto g_{21} = g_{12}^{-1}$ would have been just as good. We identify the group C^0 of 0-cochains with $\mathcal{F}(\mathbf{U}_1) \times \mathcal{F}(\mathbf{U}_2)$. Then the coboundary operator $\partial^0: C^0 \rightarrow C^1$ is given by

$$\partial^0(g_1, g_2) = \varrho_1(g_1) \cdot \varrho_2(g_2)^{-1}, \quad (1)$$

where $g_i \in \mathcal{F}(\mathbf{U}_i)$ and $\varrho_i: \mathcal{F}(\mathbf{U}_i) \rightarrow \mathcal{F}(\mathbf{U}_{12})$ are the restriction homomorphisms.

Now consider the isomorphisms $\varphi_i: k_{\mathbf{a}} \rightarrow \mathbf{U}_i$ and $\varphi'_i: k_{\mathbf{u}} \rightarrow \mathbf{U}_{12}$ of 1.2. After identifying the affine algebras of $k_{\mathbf{a}}$ and $k_{\mathbf{u}}$ with $k[\mathbf{t}]$ and $k[\mathbf{t}, \mathbf{t}^{-1}]$, we have induced isomorphisms $\varphi_i^*: \mathcal{F}(\mathbf{U}_i) \rightarrow k[\mathbf{t}]^\times$ and $\varphi'_i: \mathcal{F}(\mathbf{U}_{12}) \rightarrow k[\mathbf{t}, \mathbf{t}^{-1}]^\times$. Under these isomorphisms, the coboundary operator ∂^0 corresponds to the map $\partial': k[\mathbf{t}]^\times \times k[\mathbf{t}]^\times \rightarrow k[\mathbf{t}, \mathbf{t}^{-1}]^\times$ given by

$$\partial'(f_1, f_2) = f_1 \cdot \phi^*(f_2)^{-1} \quad (2)$$

where $\phi = \varphi'_2^{-1} \circ \varphi'_1$ is the change of coordinates map. Details are left to the reader. By 1.2.1, ϕ is inversion on $k_{\mathbf{u}}$, so ϕ^* is the automorphism $\mathbf{t} \mapsto \mathbf{t}^{-1}$ of $k[\mathbf{t}, \mathbf{t}^{-1}]$. It follows that $\partial' = \partial$, the map considered in Lemma 3.3. Hence the diagram

$$\begin{array}{ccccccc} C^0 & \xrightarrow{\partial^0} & Z^1 & \xrightarrow{\text{can}} & H^1 & \longrightarrow & 0 \\ \downarrow \cong & & \downarrow \cong & & \parallel & & \\ \mathcal{F}(\mathbf{U}_1) \times \mathcal{F}(\mathbf{U}_2) & \xrightarrow{\partial^0} & \mathcal{F}(\mathbf{U}_{12}) & \longrightarrow & H^1 & \longrightarrow & 0 \\ \varphi_1^* \times \varphi_2^* \downarrow \cong & & \varphi_1'^* \downarrow \cong & & \downarrow \cong & & \\ k[\mathbf{t}]^\times \times k[\mathbf{t}]^\times & \xrightarrow{\partial} & k[\mathbf{t}, \mathbf{t}^{-1}]^\times & \xrightarrow{\deg} & \mathbf{Z}(k) & \longrightarrow & 0 \end{array}$$

is commutative and has exact rows, so there is a unique isomorphism $H^1 \rightarrow \mathbf{Z}(k)$ making the diagram commutative. Explicitly, it is given by the procedure described in the statement of the theorem. Finally, 2.3.1 implies that the tautological bundle is mapped to $-1 \in \mathbf{Z}(k)$.

4.2. Theorem. *There is a natural isomorphism $\Psi: \text{Pic}_{\mathfrak{X}}(\mathbf{X}) \xrightarrow{\cong} \mathbf{Z}(k)$ making the diagram*

$$\begin{array}{ccc} \text{Pic}_{\mathfrak{U}}(\mathbf{P}_1) & \xrightarrow{\pi^*} & \text{Pic}_{\mathfrak{X}}(\mathbf{X}) \\ & \searrow \Phi & \swarrow \Psi \\ & \mathbf{Z}(k) & \end{array} \quad (1)$$

commutative. Hence π^ is an isomorphism, and the bundle $\mathbf{L} = \pi^*(\mathbf{E})$ of 2.1 is mapped to -1 under π^* .*

Proof. We proceed as in the proof of 4.1. Let \mathcal{G} be the sheaf $\mathcal{O}_{\mathbf{X}}^{\times}$ and identify $C^0(\mathfrak{Y}) \cong \mathcal{G}(\mathbf{V}_1) \times \mathcal{G}(\mathbf{V}_2)$ and $Z^1(\mathfrak{Y}) \cong \mathcal{G}(\mathbf{V}_{12})$. Then the coboundary operator $\partial^0: C^0(\mathfrak{Y}) \rightarrow Z^1(\mathfrak{Y})$ is given by 4.1.1. Again as before, we consider the isomorphisms $\psi_i: k_{\mathbf{a}}^2 \rightarrow \mathbf{V}_i$ and $\psi'_i: k_{\mathbf{u}} \times k_{\mathbf{a}} \rightarrow \mathbf{V}_{12}$ of 1.4. After identifying the affine algebra of $k_{\mathbf{a}}^2$ with the polynomial ring $k[\mathbf{t}, \mathbf{y}]$ in two variables and the affine algebra of $k_{\mathbf{u}} \times k_{\mathbf{a}}$ with $k[\mathbf{t}, \mathbf{t}^{-1}, \mathbf{y}]$, we have induced isomorphisms $\psi_i^*: \mathcal{G}(\mathbf{V}_i) \rightarrow k[\mathbf{t}, \mathbf{y}]^{\times}$ and $\psi_i'^*: \mathcal{G}(\mathbf{V}_{12}) \rightarrow k[\mathbf{t}, \mathbf{t}^{-1}, \mathbf{y}]^{\times}$. Let ϕ be the change of coordinates 1.4.4. Then again ∂^0 corresponds to the map ∂' of 4.1.2.

Put $R = k[\mathbf{y}]$, so that $k[\mathbf{t}, \mathbf{y}] = R[\mathbf{t}]$ and $k[\mathbf{t}, \mathbf{t}^{-1}, \mathbf{y}] = R[\mathbf{t}, \mathbf{t}^{-1}]$. We wish to apply Lemma 3.3. However, the automorphism ϕ^* of the k -algebra $R[\mathbf{t}, \mathbf{t}^{-1}]$ is no longer just given by $\mathbf{t} \mapsto \mathbf{t}^{-1}$ but also involves the variable \mathbf{y} , so ∂' is not equal to the map ∂ of Lemma 3.3. Hence the following detour is required.

From 1.4.4, we see that ϕ can be factored in the form $\phi = \iota \circ \vartheta$ where $\iota(\lambda, \beta) = (\lambda^{-1}, \beta)$ and $\vartheta(\lambda, \beta) = (\lambda, \lambda(1 - \lambda\beta))$. Putting $I = \iota^*$ and $\Theta = \vartheta^*$, this shows

$$\phi^* = \Theta \circ I \tag{2}$$

where Θ and I are the automorphisms of $k[\mathbf{t}, \mathbf{t}^{-1}, \mathbf{y}]$ given by the formulas

$$\Theta(\mathbf{t}) = \mathbf{t}, \quad \Theta(\mathbf{y}) = \mathbf{t}(1 - \mathbf{t}\mathbf{y}), \tag{3}$$

$$I(\mathbf{t}) = \mathbf{t}^{-1}, \quad I(\mathbf{y}) = \mathbf{y}. \tag{4}$$

By 1.4.5, ϕ^* squares to the identity and obviously $I^2 = \text{Id}$. Hence (2) implies

$$I = \Theta \circ I \circ \Theta. \tag{5}$$

Next observe (cf. [3, 0.12.2]) that an idempotent ε of the polynomial ring $R = k[\mathbf{y}]$ belongs to k . Hence the natural homomorphism $k \rightarrow R$ induces an isomorphism

$$\mathbf{Z}(k) \xrightarrow{\cong} \mathbf{Z}(R). \tag{6}$$

Using the description of the units of $R = k[\mathbf{y}]$ in Lemma 3.2(a), part (b) of that lemma shows that $g \in R[\mathbf{t}, \mathbf{t}^{-1}]^{\times}$ if and only if $g = \mu \mathbf{t}^{\mathfrak{d}} + h$ where $\mu \in k^{\times}$, $\mathfrak{d} = \deg(g) \in \mathbf{Z}(k)$ and $h \in R[\mathbf{t}, \mathbf{t}^{-1}]$ is nilpotent. From this and the formulas for Θ and I we see

$$\deg(\Theta(g)) = \deg(g), \quad \deg(I(g)) = -\deg(g) \quad (g \in R[\mathbf{t}, \mathbf{t}^{-1}]^{\times}). \tag{7}$$

With the notations introduced above, the map ∂ of Lemma 3.3 is expressed by

$$\partial(f_1, f_2) = f_1 \cdot I(f_2)^{-1}, \tag{8}$$

while by 4.1.2 and (2),

$$\partial'(f_1, f_2) = f_1 \cdot \Theta(I(f_2))^{-1}, \tag{9}$$

for $f_i \in R[\mathbf{t}]^{\times}$. We claim that

$$\text{Im}(\partial') = \text{Im}(\partial) = \text{Ker}(\deg). \tag{10}$$

Indeed, the second equality follows from Lemma 3.3. As \deg vanishes on $R[\mathbf{t}]^{\times}$, it follows from (7) and (9) that $\text{Im}(\partial') \subset \text{Ker}(\deg) = \text{Im}(\partial)$. To prove $\text{Im}(\partial) \subset \text{Im}(\partial')$, it suffices by (8) to have $I(f) \in \text{Im}(\partial')$, for all $f \in R[\mathbf{t}]^{\times}$. The automorphism Θ of $R[\mathbf{t}, \mathbf{t}^{-1}]$ induces an endomorphism (but not an automorphism) of the subring $R[\mathbf{t}]$. This is evident from (3). Hence $\Theta(f) \in R[\mathbf{t}]^{\times}$, and by (5), $I(f) = \Theta(I(\Theta(f))) = \partial'(1, \Theta(f)^{-1}) \in \text{Im}(\partial')$. Now (10) and Lemma 3.3 together with (6) yields the desired isomorphism

$$\Psi: \text{Pic}_{\mathfrak{Y}}(\mathbf{X}) \cong H^1(\mathfrak{Y}, \mathcal{G}) \cong R[\mathbf{t}, \mathbf{t}^{-1}]^{\times} / \text{Im}(\partial') \xrightarrow{\deg} \mathbf{Z}(k).$$

It remains to show that (1) is commutative. The map $\pi^*: \text{Pic}_{\mathfrak{U}}(\mathbf{P}_1) \rightarrow \text{Pic}_{\mathfrak{V}}(\mathbf{X})$ is induced by the maps $\pi_i^*: \mathcal{F}(\mathbf{U}_i) \rightarrow \mathcal{G}(\mathbf{V}_i)$ and $\pi_{12}^*: \mathcal{F}(\mathbf{U}_{12}) \rightarrow \mathcal{G}(\mathbf{V}_{12})$, where π_i and π_{12} are the restrictions of the projection $\pi: \mathbf{X} \rightarrow \mathbf{P}_1$. After the identifications of these rings with polynomial resp. Laurent polynomial rings as above, these are just the natural injections $k[\mathbf{t}]^\times \rightarrow R[\mathbf{t}]^\times$ and $k[\mathbf{t}, \mathbf{t}^{-1}]^\times \rightarrow R[\mathbf{t}, \mathbf{t}^{-1}]^\times$ induced from $k \rightarrow R$. From (3), (4) and (9) one sees easily that the diagram

$$\begin{array}{ccccccc} k[\mathbf{t}]^\times \times k[\mathbf{t}]^\times & \xrightarrow{\partial} & k[\mathbf{t}, \mathbf{t}^{-1}]^\times & \xrightarrow{\text{deg}} & \mathbf{Z}(k) & \longrightarrow & 0 \\ \downarrow & & \downarrow & & \parallel & & \\ R[\mathbf{t}]^\times \times R[\mathbf{t}]^\times & \xrightarrow{\partial'} & R[\mathbf{t}, \mathbf{t}^{-1}]^\times & \xrightarrow{\text{deg}} & \mathbf{Z}(k) & \longrightarrow & 0 \end{array}$$

is commutative with exact rows. This implies commutativity of (1) and completes the proof.

4.3. Corollary. \mathcal{E} has infinite order in $\text{Pic}(\mathbf{P}_1)$ and \mathcal{L} has infinite order in $\text{Pic}(\mathbf{X})$.

4.4. Corollary. If k is a factorial ring then $\text{Pic}(\mathbf{P}_1) \cong \mathbb{Z} \cong \text{Pic}(\mathbf{X})$, generated by \mathcal{E} and \mathcal{L} , respectively.

Proof. The Picard group of an integral domain is canonically embedded into the ideal class group, and the latter is trivial for a factorial domain [1, VII, §1.2, Remarks after Prop. 4, and §3, Def. 1]. Also, $k[\mathbf{t}]$ is factorial along with k . Hence every line bundle on \mathbf{P}_1 is trivialized by \mathfrak{U} , i.e., $\text{Pic}(\mathbf{P}_1) = \text{Pic}_{\mathfrak{U}}(\mathbf{P}_1)$. Moreover, $\mathbf{Z}(k) \cong \mathbb{Z}$ since k has no non-trivial idempotents. Now the first isomorphism follows from Theorem 4.1, and the proof of the second one is analogous.

4.5. Remarks. (i) The isomorphisms Φ and Ψ of 4.1 and 4.2 are easily seen to be compatible with base change. Hence, the sub-functors $\mathbf{Pic}_{\mathfrak{U}}(\mathbf{P}_1)$ and $\mathbf{Pic}_{\mathfrak{V}}(\mathbf{X})$ of the Picard functors $\mathbf{Pic}(\mathbf{P}_1)$ and $\mathbf{Pic}(\mathbf{X})$ defined by

$$\mathbf{Pic}_{\mathfrak{U}}(\mathbf{P}_1)(R) = \text{Pic}_{\mathfrak{U}}(\mathbf{P}_1 \otimes R), \quad \mathbf{Pic}_{\mathfrak{V}}(\mathbf{X})(R) = \text{Pic}_{\mathfrak{V}}(\mathbf{X} \otimes R)$$

are actually isomorphic to \mathbf{Z} .

(ii) The canonical projection $p: \mathbf{P}_1 \rightarrow \mathbf{S} = \mathbf{Spec}(k)$ induces a homomorphism $p^*: \text{Pic}(k) \cong \text{Pic}(\mathbf{S}) \rightarrow \text{Pic}(\mathbf{P}_1)$. This is an isomorphism onto a direct summand because p has sections (the elements of $\mathbf{P}_1(k)$ are in bijection with the sections of p). We claim that $p^*(\text{Pic}(k)) \cap \text{Pic}_{\mathfrak{U}}(\mathbf{P}_1) = 0$. Indeed, let $i_1: \mathbf{U}_1 \rightarrow \mathbf{P}_1$ be the inclusion and $p_1 = p|_{\mathbf{U}_1}$. Then $p_1 = p \circ i_1$ and hence $p_1^* = i_1^* \circ p^*$. Since $\mathbf{U}_1(k) \neq \emptyset$ as well, $p_1^*: \text{Pic}(k) \rightarrow \text{Pic}(\mathbf{U}_1)$ is injective, so $i_1^*: p^*(\text{Pic}(k)) \rightarrow \text{Pic}(\mathbf{U}_1)$ is injective. Hence for an element $p^*([L]) = [\mathcal{M}] \in p^*(\text{Pic}(k)) \cap \text{Pic}_{\mathfrak{U}}(\mathbf{P}_1)$ we have $i_1^*([\mathcal{M}]) = 0$ (since the restriction of \mathcal{M} to \mathbf{U}_1 is trivial) $= p_1^*([L])$ and therefore $[L] = 0$ in $\text{Pic}(k)$. Question: Is

$$p^*(\text{Pic}(k)) \oplus \text{Pic}_{\mathfrak{U}}(\mathbf{P}_1) = \text{Pic}(\mathbf{P}_1)?$$

Analogous statements hold and questions can be asked for $\text{Pic}(\mathbf{X})$.

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