

LITTLE GROTHENDIECK'S THEOREM FOR REAL JB*-TRIPLES

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Abstract

We prove that given a real JB*-triple E , and a real Hilbert space H , then the set of those bounded linear operators T from E to H , such that there exists a norm one functional $\varphi \in E^*$ and corresponding pre-Hilbertian semi-norm $\|\cdot\|_\varphi$ on E such that

$$\|T(x)\| \leq 4\sqrt{2}\|T\| \|x\|_\varphi$$

for all $x \in E$, is norm dense in the set of all bounded linear operators from E to H .

As a tool for the above result, we show that if A is a JB-algebra and $T : A \rightarrow H$ is a bounded linear operator then there exists a state $\varphi \in A^*$ such that

$$\|T(x)\| \leq 2\sqrt{2}\|T\|\varphi(x^2)$$

for all $x \in A$.

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1 Introduction.

It is well known [Gro] that there is a universal constant K such that if Ω is a compact Hausdorff space and T is a bounded linear operator from $C(\Omega)$ to

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a complex Hilbert space H , then there exists a probability measure μ on Ω such that

$$|T(f)|^2 \leq K^2 \|T\|^2 \left(\int_{\Omega} |f|^2 d\mu \right)$$

for all $f \in C(\Omega)$. This result is called “Little Grothendieck’s inequality” or “Little Grothendieck’s Theorem” for commutative C^* -algebras. In the non-commutative case, Pisier ([P1], [P2]) and Haagerup ([H1], [H2]) proved a “Little Grothendieck Theorem” for C^* -algebras. That is, if $T : C \rightarrow \mathcal{H}$ is a bounded linear operator from a C^* -algebra, C , to a complex Hilbert space, $\mathcal{H}$, we can find a state ψ of C such that

$$\|T(x)\| \leq 2\|T\| \psi\left(\frac{1}{2}(xx^* + x^*x)\right)^{\frac{1}{2}} \quad (x \in C).$$

As is pointed out in [CIL], Pisier’s proof of the “Little Grothendieck’s theorem” for C^* -algebras [P2, Theorem 9.4] can be verbatim extended for JB^* -algebras in the following setting. For every bounded linear operator T from a JB^* -algebra $\mathcal{A}$, to a complex Hilbert space $\mathcal{H}$, there exists a state $\varphi \in \mathcal{A}^*$ such that

$$\|T(z)\| \leq 2\|T\| \left(\varphi(z \circ z^*) \right)^{\frac{1}{2}}$$

for all $z \in \mathcal{A}$. For the most general class of complex Banach spaces called JB^* -triples (which includes C^* -algebras and JB^* -algebras) a “Little Grothendieck’s Theorem” is established by Barton and Friedman [BF, Theorem 1.3]. Indeed given a bounded linear operator $T : \mathcal{E} \rightarrow \mathcal{H}$ from a complex JB^* -triple $\mathcal{E}$ to a complex Hilbert space, $\mathcal{H}$ such that T^{**} attains its norm at a complete tripotent, then there is a normalized functional $\varphi \in \mathcal{E}^*$ such that

$$\|T(x)\| \leq \sqrt{2}\|T\|\|x\|_{\varphi}$$

where $\|x\|_{\varphi}^2 = \varphi\{x, x, e\}$ for some tripotent $e \in \mathcal{E}^{**}$ with $\varphi(e) = 1$. The formulation of [BF, Theorem 1.3] does not include the assumption that T^{**} attains its norm at a complete tripotent, but such an hypothesis is implicitly assumed since the beginning of their proof. We note that a bounded linear operator T from a JB^* -triple to a complex Hilbert space need not satisfy that T^{**} attains its norm. Indeed, consider the operator from the complex

ℓ_2 space to itself whose associated matrix is

$$\begin{pmatrix} \frac{1}{2} & 0 & \dots & 0 \\ 0 & \frac{2}{3} & \dots & 0 \\ \dots & \dots & \dots & \dots \\ 0 & 0 & \dots & \frac{n}{n+1} \end{pmatrix}$$

Then the original formulation of the “Little Grothendieck’s Theorem” stated by Barton and Friedman can be consider only as a conjecture.

From the proof of [BF, Theorem 1.3], it may be concluded that if T is a bounded linear operator from a complex JB*-triple $\mathcal{E}$ to a complex Hilbert space $\mathcal{H}$ whose second transpose T^{**} attains its norm at a complete tripotent, then there exists a norm one functional $\varphi \in \mathcal{E}^*$ such that

$$\|T(x)\| \leq \sqrt{2}\|T\|\|x\|_\varphi$$

for all $x \in \mathcal{E}$, where $\|x\|_\varphi^2 = \varphi\{x, x, e\}$ and $e \in \mathcal{E}^{**}$ is a tripotent with $\varphi(e) = 1$.

If T is a bounded linear operator from $\mathcal{E}$ to $\mathcal{H}$ whose second transpose T^{**} attains its norm then there exists a norm one element c in $\mathcal{E}^{**}$ with $\|T^{**}\| = \|T^{**}(c)\|$. Let us consider

$$\rho(x) := \|T^{**}\|^{-2} \langle T^{**}(x) | T^{**}(c) \rangle.$$

It is clear that ρ is a norm one weak*-continuous functional on $\mathcal{E}^{**}$, so by Alaoglu’s Theorem, the Krein-Milman Theorem and [KU, Proposition 3.5], there exists a complete tripotent $e \in \mathcal{E}^{**}$ such that

$$\begin{aligned} 1 = \rho(e) &= \|T^{**}\|^{-2} \langle T^{**}(e) | T^{**}(c) \rangle \leq \\ &\leq \|T^{**}\|^{-2} \|T^{**}(e)\| \|T^{**}(c)\| = \|T^{**}(e)\| \|T^{**}\|^{-1} \leq 1, \end{aligned}$$

thus

$$\|T^{**}(e)\| = \|T^{**}\|.$$

Therefore T^{**} attains its norm at a complete tripotent. Finally, since the set of all operators $T \in BL(\mathcal{E}, \mathcal{H})$ such that T^{**} attains its norm is norm dense in $BL(A, H)$, (see [L, Theorem 1]), the result of Barton and Friedman can be formulated as follows.

Theorem 1.1 *Let $\mathcal{E}$ be a complex JB*-triple and let $\mathcal{H}$ be a complex Hilbert space. Then the set of those bounded linear operators T from $\mathcal{E}$ to $\mathcal{H}$ such that there exists a norm one functional $\varphi \in \mathcal{E}^*$ satisfying*

$$\|T(x)\| \leq \sqrt{2}\|T\|\|x\|_{\varphi}$$

for all $x \in \mathcal{E}$, is norm dense in the set of all bounded linear operators from $\mathcal{E}$ to $\mathcal{H}$.

In this paper we prove a similar result for the most general class of Banach spaces called real JB*-triples.

Complex JB*-triples were introduced by Kaup [K1] in the study of bounded symmetric domains in complex Banach spaces. He shows that every bounded symmetric domain in a complex Banach space is biholomorphically equivalent to the open unit ball of a complex JB*-triple [K2]. Every C*-algebra and every JB*-algebra are JB*-triples with triple product $\{x, y, x\} := xy^*x$ and $\{a, b, c\} := (a \circ b^*) \circ c + (c \circ b^*) \circ a - (a \circ c) \circ b^*$ respectively. See [U], [R], [Ru] and [CM] for the general theory of JB*-triples.

Real JB*-triples are introduced by Isidro, Kaup and Rodríguez [IKR] in 1995. Real JB*-triples are defined as closed real subtriples of complex JB*-triples. The class of real JB*-triples is bigger than the class of complex JB*-triples. Every complex JB*-triple, JB-algebra, real C*-algebra and J*B-algebra is a real JB*-triple (see [IKR], [HS], [G] and [A]). Recently real JB*-triples have been the object of intensive investigations (see for example [D], [CDRV], [IKR], [K3], [CGR], [MP] and [PS]).

The aim of this paper is to obtain a “Little Grothendieck’s Theorem” for real JB*-triples. Section 2 presents some preliminary results. In section 3 we proceed with the study of the “Little Grothendieck Theorem” in the particular case of a JB-algebra. This result will be very useful in the proof of the main result. Finally section 4 provides a detailed proof of the “Little Grothendieck Theorem” for real JB*-triples. In the complex case the proof of the Little Grothendieck Theorem is based in the fact that $it(L(a, b) + L(b, a))$ is a derivation for all $t \in \mathbb{R}$ and $a, b \in \mathcal{E}$ where $\mathcal{E}$ is a complex JB*-triple and so $\exp(it(L(a, b) + L(b, a)))$ is an isometric bijection for every t in $\mathbb{R}$, $a, b \in \mathcal{E}$. In the real case $it(L(a, b) + L(b, a))$ does not make sense but we can use that $\delta(a, b) := L(a, b) - L(b, a)$ is a derivation for all a, b in a real JB*-triple E and then $\exp(t(L(a, b) - L(b, a)))$ is an isometric bijection for every t in $\mathbb{R}$,

$a, b \in E$ (see [IKR, Proposition 2.5]). This fact will be the basic idea in the proof of the main result.

2 Background.

We recall that a complex JB*-triple is a complex Banach space $\mathcal{E}$ with a continuous triple product $\{., ., .\} : \mathcal{E} \times \mathcal{E} \times \mathcal{E} \rightarrow \mathcal{E}$ which is bilinear and symmetric in the outer variables and conjugate linear in the middle variable, and satisfies:

1. (Jordan Identity) $L(a, b)\{x, y, z\} = \{L(a, b)x, y, z\} - \{x, L(b, a)y, z\} + \{x, y, L(a, b)z\}$ for all a, b, c, x, y, z in $\mathcal{E}$, where $L(a, b)x := \{a, b, x\}$;
2. The map $L(a, a)$ from $\mathcal{E}$ to $\mathcal{E}$ is an hermitian operator with spectrum ≥ 0 for all a in $\mathcal{E}$;
3. $\|\{a, a, a\}\| = \|a\|^3$ for all a in $\mathcal{E}$.

Following [IKR], a real Banach space E together with a trilinear map $\{., ., .\} : E \times E \times E \rightarrow E$ is called a real JB*-triple if there is a complex JB*-triple $\mathcal{E}$ and an $\mathbb{R}$ -linear isometry λ from E to $\mathcal{E}$ such that $\lambda\{x, y, z\} = \{\lambda x, \lambda y, \lambda z\}$ for all x, y, z in E .

Real JB*-triples are essentially the closed real subtriples of complex JB*-triples and, by [IKR, Proposition 2.2], given a real JB*-triple E there exists a unique complex JB*-triple $\widehat{E}$ and a unique conjugation (conjugate linear and isometric mapping of period 2) τ on $\widehat{E}$ such that $E = \widehat{E}^\tau := \{x \in \widehat{E} : \tau(x) = x\}$. In fact, $\widehat{E}$ is the complexification of the vector space E , with triple product extending in a natural way the triple product of E and a suitable norm. For the rest of the paper, given a real JB*-triple E , we will denote by $\widehat{E}$ its complexification and by τ the canonical conjugation on $\widehat{E}$ such that $E = \widehat{E}^\tau$.

JBW*-triples (real JBW*-triples resp.) are JB*-triples (real JB*-triples resp.) which are Banach dual spaces [BT] ([MP] resp).

Real and complex JB*-triples are Jordan triples. Therefore, given a tripotent e ($\{e, e, e\} = e$) in a real or complex JB*-triple U , there exist two decompositions of U

$$U = U_0(e) \oplus U_1(e) \oplus U_2(e) = U^1(e) \oplus U^{-1}(e) \oplus U^0(e)$$

where $U_k(e) = \{x \in U : L(e, e)x = \frac{k}{2}x\}$ for $k = 0, 1, 2$ and $U^k(e)$ is the k -eigenspace of the operator $Q(e)x := \{e, x, e\}$ for $k = 1, -1, 0$. It is well known that if $\mathcal{E}$ is a complex JB*-triple and $e \in \mathcal{E}$ is a tripotent then $\mathcal{E}_2(e)$ is a JB*-algebra with product $x \circ y := \{x, e, y\}$ and involution $x^* := \{e, x, e\}$. In the case that E is a real JB*-triple and $e \in E$ is a tripotent, $E^1(e)$ is a JB-algebra with product $x \circ y := \{x, e, y\}$. $E_k(e)$ is called the Peirce k -space of e . For a real or complex JB*-triple U the following rules are satisfied:

1. $U_2(e) = U^1(e) \oplus U^{-1}(e)$ and $U^0(e) = U_1(e) \oplus U_0(e)$
2. $\{U^i(e), U^j(e), U^k(e)\} \subseteq U^{ijk}(e)$ if $ijk \neq 0$
3. $\{U_i(e), U_j(e), U_k(e)\} \subseteq U_{i-j+k}(e)$, where $i, j, k = 0, 1, 2$ and $U_l(e) = 0$ for $l \neq 0, 1, 2$.
4. $\{U_0(e), U_2(e), U\} = \{U_2(e), U_0(e), U\} = 0$.

The last two rules are known as Peirce arithmetic. In particular, Peirce k -spaces are subtriples.

The projection $P_k(e)$ of U onto $U_k(e)$ is called the Peirce k -projection of e . These projections are given by

$$\begin{aligned} P_2(e) &= Q(e)^2; \\ P_1(e) &= 2(L(e, e) - Q(e)^2); \\ P_0(e) &= Id_U - 2L(e, e) + Q(e)^2. \end{aligned}$$

Throughout this paper we will denote by $P^k(e)$ the natural projection $P^k(e) : U \rightarrow U^k(e)$ ($k : 1, 0, -1$).

Remark 2.1 Let E be a real JB*-triple, we write $\widehat{E}$ for its complexification and τ for the canonical conjugation on $\widehat{E}$ with $\widehat{E}^\tau = E$. Let us consider

$$\phi : \widehat{E}^* \rightarrow \widehat{E}^*$$

by

$$\phi(f)(z) = \overline{f(\tau(z))}.$$

From [IKR] we can assure that ϕ is a conjugation (conjugate-linear isometry of period 2) on $\widehat{E}^*$. Furthermore the map

$$(\widehat{E}^*)^\phi := \{f \in \widehat{E}^* : \phi(f) = f\} \rightarrow (\widehat{E}^\tau)^*$$

$$f \mapsto f|_E$$

is an isometric bijection. In the same way if E is a real JBW*-triple and we write $\widehat{E}$ for its complexification (which is a complex JBW*-triple) the predual of E , E_* can be regarded as $(\widehat{E}_*)^\phi := \{f \in \widehat{E}_* : \phi(f) = f\}$.

The construction can be realized one more time to get a conjugation $\widehat{\phi}$ on $\widehat{E}^{**}$ such that

$$E^{**} \cong (\widehat{E}^{**})^{\widehat{\phi}}.$$

It is well known that the surjective linear (resp. conjugate linear) isometries between two complex JB*-triples are exactly the triple linear (resp. conjugate linear) isomorphisms [K2, Proposition 5.5]. Moreover if $\mathcal{E}$ is a JBW*-triple then every surjective linear or conjugate linear isometry on $\mathcal{E}$ is weak* continuous [BT], in particular if we have a JBW*-triple with a conjugation τ then τ is automatically weak* continuous.

We recall [FR, Proposition 2] that if $\mathcal{E}$ is a complex JBW*-triple and $f \in \mathcal{E}_*$ then there exists a unique tripotent $e(f)$ in $\mathcal{E}$ such that $f = fP_2(e)$ and $f|_{\mathcal{E}_2(e)}$ is a faithful normal positive functional on the JBW*-algebra $\mathcal{E}_2(e)$. This tripotent is called the support tripotent of f .

Since the concept of support tripotent is preserved by weak* continuous automorphisms, given a complex JBW*-triple $\mathcal{E}$ with a conjugation τ , we can find a relationship between the support tripotents of f and $\phi(f)$ for every $f \in \mathcal{E}_*$ (Where ϕ is the conjugation constructed from τ like in Remark 2.1).

Lemma 2.2 *Let $\mathcal{E}$ be a complex JBW*-triple, let τ be a conjugation on $\mathcal{E}$, $f \in \mathcal{E}_*$ and let e be the support tripotent of f . Then $\tau(e)$ is the support tripotent of $\phi(f)$. In particular if $\phi(f) = f$ and e is its support tripotent then $\tau(e) = e$ (by the uniqueness of the support tripotent).*

Proof

The proof is immediate from the previous comments. $\square$

Let E be a real JB*-triple and let f be a norm one functional on E . f can be regarded as a norm one functional on the complexification of E , $\widehat{E}$, such that $\phi(f) = f$ (see Remark 2.1). From [FR, Proposition 2] there exists

the support tripotent of f in $\widehat{E}^{**}$. By the previous Lemma, this support tripotent of f in $\widehat{E}^{**}$ is in fact in E^{**} and we call it the *support tripotent* of f in E^{**} .

The following Lemma is contained in [PS] and we include here by completeness reasons. It will play a very important role in the proof of the main Theorem.

Lemma 2.3 *Let E be a real JB^* -triple, let e be a tripotent of E and $f \in E^*$ such that $\|f|_{E_2(e)}\| = \|f\| = 1$. Then $f = f \circ P_2(e)$. Moreover if $f(e) = 1$ then $f = f \circ P^1(e)$.*

Proof

By [MP, Lemma 2.9] we have $f = f \circ P_2(e)$. Let $y \in E^{-1}(e)$. We may assume without loss of generality $f(y) \geq 0$. Therefore $\{e, e, y\} = y$, $\{e, y, e\} = -y$ and we have the order estimate

$$\{e + ty, e + ty, e + ty\} = \{e, e, e\} + 2t\{e, e, y\} + \{e, y, e\} + O(|t|^2) = e + ty + O(|t|^2)$$

for $t > 0$ in $\mathbb{R}$. Hence by induction we get

$$(e + ty)^{3^n} = e + ty + O(|t|^2) \quad (n = 1, 2, \dots).$$

Therefore, for $t > 0$,

$$\begin{aligned} \|e + ty\| &\geq f(e + ty) = 1 + tf(y) \\ (1 + tf(y))^{3^n} &\leq \|e + ty\|^{3^n} = \|(e + ty)^{3^n}\| = \|e + ty + O(|t|^2)\| \leq \\ &\leq 1 + t\|y\| + O(|t|^2) \\ 1 + 3^n tf(y) + O(|t|^2) &\leq 1 + t\|y\| + O(|t|^2) \\ 3^n f(y) + O(|t|) &\leq \|y\| + O(|t|). \end{aligned}$$

Thus, for $t \downarrow 0$, we obtain

$$f(y) \leq \frac{1}{3^n} \|y\| \quad (n = 1, 2, \dots).$$

It follows $f(y) = 0$ for every $y \in E^{-1}(e)$. Since $E_2(e) = E^1(e) \oplus E^{-1}(e)$ and $f = f \circ P_2(e)$, we conclude $f = f \circ P^1(e)$. $\square$

The next Lemma extends [BF, Proposition 1.2] to real JB^* -triples.

Lemma 2.4 *Let E be a real JB^* -triple, $f \in E^*$ with $\|f\| = 1$ and let $e \in E$ such that $f(e) = \|e\| = 1$. Then*

$$f\{x, y, e\} = f\{y, x, e\}$$

$$f\{x, x, e\} \geq 0$$

for all $x, y \in E$, and the Cauchy-Schwartz inequality holds:

$$|f\{x, y, e\}|^2 \leq f\{x, x, e\} f\{y, y, e\}$$

Moreover if $z \in E$ with $f(z) = \|z\| = 1$ then

$$f\{x, x, e\} = f\{x, x, z\}$$

for all $x \in E$ and if we define $\|x\|_f := (f\{x, x, e\})^{\frac{1}{2}} \forall x \in E$ then

$$\|x\| = \sup\{\|x\|_f : \|f\| = 1\}.$$

Proof

Let $\widehat{E}$ denote the complexification of E . By Remark 2.1 we can see f as an element of $\widehat{E}^*$ with $\|f\| = f(e) = 1$ and $\phi(f) = f$. From [BF, Proposition 1.2]

$$f\{a, b, e\} = \overline{f\{b, a, e\}},$$

$$f\{a, a, e\} \geq 0,$$

$$|f\{a, b, e\}|^2 \leq f\{a, a, e\} f\{b, b, e\}$$

$\forall a, b \in \widehat{E}$. Moreover if $z \in \widehat{E}$ with $f(z) = \|z\| = 1$ then

$$f\{a, a, e\} = f\{a, a, z\}$$

for all $a \in \widehat{E}$. Now applying that $\phi(f) = f$ ($f \in E^*$) we have that $f(E) \subseteq \mathbb{R}$ and then we obtain the first three statements.

For the last affirmation we proceed as follows. Let $x \in E$ with $\|x\| = 1$, by the Hahn-Banach Theorem there exists $f \in E^*$ with $\|f\| = f(x) = 1$. We consider $f \in \widehat{E}^*$ with $\phi(f) = f$. Let $u \in \widehat{E}^{**}$ the support tripotent of f . Again by [BF, Proof of Proposition 1.2] $\|x\| = f\{x, x, u\} = \|x\|_f$ in $\widehat{E}$. Since $\phi(f) = f$, Remark 2.1 and Lemma 2.2, assure that the support tripotent u of

f is in the bidual of E , i. e. $u \in E^{**}$. Therefore we obtain the last statement. $\square$

From this Lemma, as in the complex case [BF], given a real JB*-triple E and a norm one functional f we can build a pre-Hilbertian seminorm $\|\cdot\|_f$ on E , a real Hilbert space H_f and a natural map $J_f : E \rightarrow H_f$ with $\|J_f(x)\| \leq \|x\|$ for all $x \in E$. The real Hilbert space H_f is the completion of E/N_f where $N_f := \{x \in E : \|x\|_f = 0\}$ and J_f is the natural projection.

$$\|J_f x\|_f = \|x\|_f = (f\{x, x, e\})^{\frac{1}{2}} \leq \|x\|$$

where e is the support tripotent of f in E^{**} .

3 JB-Algebras

One of the most important examples of real JB*-triples are JB-algebras. We recall that every JB-algebra is a real JB*-triple with triple product given by $\{x, y, z\} := (x \circ y) \circ z + (z \circ y) \circ x - (x \circ z) \circ y$. This section is devoted to prove a “little Grothendieck’s Theorem” in the case of a JB-algebra.

If $\mathcal{A}$ is a (complex) JB*-algebra, $\mathcal{A}$ can be regarded as (complex) JB*-triple under the triple product $\{x, y, z\} := (x \circ y^*) \circ z + (z \circ y^*) \circ x - (x \circ z) \circ y^*$. The “Grothendieck’s Theorem” for (complex) JB*-algebras (which is a verbatim extension of Haagerup’s proof for C*-algebras [H2]), is stated by Chu, Iochum and Loupias in [CIL, Theorem 2.].

Theorem 3.1 (Little Grothendieck’s Theorem for JB*-algebras) *Let $\mathcal{A}$ be a (complex) JB*-algebra, let $\mathcal{H}$ be a complex Hilbert space and $T : \mathcal{A} \rightarrow \mathcal{H}$ a bounded linear operator. Then there is a state $\varphi \in \mathcal{A}^*$ such that*

$$\|T(z)\| \leq 2\|T\| \left(\varphi(z \circ z^*) \right)^{\frac{1}{2}}$$

for all $z \in \mathcal{A}$.

We can now state the analogue of “Little Grothendieck’s Theorem” for (real) JB-algebras.

Theorem 3.2 (Little Grothendieck's Theorem for JB-algebras) *Let A be a JB-algebra, let H be a real Hilbert space and let $T : A \rightarrow H$ be a bounded linear operator. Then there is a state $\varphi \in A^*$ such that*

$$\|T(x)\| \leq 2\sqrt{2}\|T\| \left(\varphi(x^2) \right)^{\frac{1}{2}}$$

for all $x \in A$.

Proof

We denote by $\widehat{A}$ and $\mathcal{H}$ the complexifications of A and H respectively. $\widehat{A}$ is a JB*-algebra whose self-adjoint part is A and $\mathcal{H}$ is a complex Hilbert space. Consider $\widehat{T} : \widehat{A} \rightarrow \mathcal{H}$ the complex linear extension of T . It is easy to check that $\|\widehat{T}\|^2 \leq 2\|T\|^2$. From Theorem 3.1 there exists a state $\psi \in \widehat{A}^*$ such that

$$\|\widehat{T}(z)\|^2 \leq 4\|\widehat{T}\|^2 \psi(z \circ z^*) \leq 8\|T\|^2 \psi(z \circ z^*)$$

for all $z \in \widehat{A}$.

In particular if $x \in A$

$$\|T(x)\|^2 \leq 8\|T\|^2 \psi(x \circ x).$$

Since ψ is a state of $\widehat{A}$, $\psi|_A$ is a state of A , and the proof is concluded. $\square$

4 Main Result

This section will be devoted to the proof of the “Little Grothendieck's Theorem for real JB*-triples”. We start introducing some terminology.

Definition 4.1 *If E is a real JB*-triple and H is a real Hilbert space, we will say that a bounded linear operator T from E to H satisfies the “Little Grothendieck's inequality” if there exists a norm one functional $\varphi \in E^*$ with*

$$\|T(x)\| \leq 4\sqrt{2} \|T\| \|x\|_\varphi$$

for all $x \in E$. Let $LG(E, H)$ denote the set of all operators $T \in BL(E, H)$ satisfying the “Little Grothendieck's inequality”.

We have seen (Lemma 2.4) that if E is a real JB*-triple, and f is a norm one functional on E , we can define a pre-Hilbertian seminorm $\|\cdot\|_f$ on E given by $\|x\|_f^2 = f\{x, x, e\}$ where e is the support tripotent of f in E^{**} . Suppose that e is a complete tripotent ($E_0(e) = 0$) of E such that $f(e) = 1$. The following Lemma states that the projections associated with e , $P_k(e)$ ($k : 0, 1, 2$) and $P^k(e)$ ($k : 1, -1, 0$) are $\|\cdot\|_f$ -contractive.

Lemma 4.2 *Let E be a real JB*-triple, and let e be a complete tripotent of E . Suppose that f is a norm one functional on E such that $f(e) = 1$ then*

1. $\|x\|_f^2 = \|P_1(e)x\|_f^2 + \|P_2(e)x\|_f^2$ ($x \in E$).
2. $\|P_2(e)x\|_f^2 = \|P^1(e)x\|_f^2 + \|P^{-1}(e)x\|_f^2$ ($x \in E$).

In particular $P_k(e)$ ($k : 0, 1, 2$) and $P^k(e)$ ($k : 1, -1, 0$) are $\|\cdot\|_f$ -contractive.

Proof

Let $x \in E$ and let us denote by $x^k := P^k(e)x$ and $x_k := P_k(e)x$. Since e is complete $P_0(e) = 0$ ($x = x_1 + x_2 \ \forall x \in E$). Using Lemma 2.4, Peirce Arithmetic and Lemma 2.3 we can check that

$$\begin{aligned} \|x\|_f^2 &= \|x_1 + x_2\|_f^2 = f\{x_1 + x_2, x_1 + x_2, e\} = \\ &= f\{x_1, x_1, e\} + f\{x_2, x_2, e\} + 2f\{x_1, x_2, e\} = \\ &= f\{x_1, x_1, e\} + f\{x_2, x_2, e\} = \|x_1\|_f^2 + \|x_2\|_f^2. \end{aligned}$$

Similar considerations show that $\{x^1, x^{-1}, e\} \in E^{1(-1)1}(e) = E^{-1}(e)$ hence applying Lemma 2.3 again

$$\|P_2(e)x\|_f^2 = \|x^1 + x^{-1}\|_f^2 = \|x^1\|_f^2 + \|x^{-1}\|_f^2 + 2f\{x^1, x^{-1}, e\} = \|x^1\|_f^2 + \|x^{-1}\|_f^2.$$

This completes the proof. $\square$

We can now state the analogue of [BF, Theorem 1.3] for real JB*-triples. As we have mentioned in the introduction this is a "Little Grothendieck's Theorem" with an additional hypothesis for T^{**} . Concretely we are going to prove that if T is a bounded linear operator from a real JB*-triple E to a real Hilbert space H such that T^{**} attains its norm, then $T \in LG(E, H)$.

Theorem 4.3 *Let E be a real JB^* -triple, let H be a real Hilbert space and let $T : E \rightarrow H$ be a bounded linear operator. Suppose that T^{**} attains its norm. Then there exists a norm one functional φ on E such that*

$$\|T(x)\| \leq 4\sqrt{2}\|T\|\|x\|_\varphi$$

for all $x \in E$.

Proof

We can suppose that $\|T\| = 1$. We first prove that, in fact, T^{**} attains its norm at a complete tripotent $e \in E^{**}$. By hypothesis, T^{**} attains its norm, so we know that $\|T^{**}\| = \|T^{**}(c)\| = \|T\| = 1$ for $c \in E^{**}$. Let us consider $\rho(x) = \langle T^{**}(x)|T^{**}(c) \rangle$. It is clear that ρ is a norm one and weak*-continuous functional on E^{**} , so by Alaoglu's Theorem, the Krein-Milman Theorem and the characterization of the complete tripotents, there exists a complete tripotent $e \in E^{**}$ such that

$$\|T^{**}\| = \rho(e) = \langle T^{**}(e)|T^{**}(c) \rangle \leq \|T^{**}(e)\| \|T^{**}(c)\| = \|T^{**}(e)\| \leq \|T^{**}\|,$$

thus

$$\|T^{**}(e)\| = \|T^{**}\|.$$

Now we suppose that E is a real JBW^* -triple and T is norm one and w^* -continuous (we can consider $T^{**} : E^{**} \rightarrow H$) and there is a complete tripotent $e \in E$ such that $\|T\| = \|T(e)\|$. Let us define

$$\xi(x) := \langle T(x)|T(e) \rangle \quad (x \in E).$$

It is clear that $1 = \|\xi\| = \xi(e)$.

Let $a \in E$ and let us denote $a^k := P^k(e)a$ and $a_k := P_k(e)a$. It is well known [IKR, Proposition 2.5] that $\exp(t(L(a, e) - L(e, a)))$ is an isometric bijection for all $t \in \mathbb{R}$ and $a, e \in E$. Then

$$\begin{aligned} 1 &\geq \|T(\exp(t(L(a, e) - L(e, a)))e)\|^2 = \\ &= \|T(e) + tT((L(a, e) - L(e, a))e) + \frac{t^2}{2}T((L(a, e) - L(e, a))^2e)\|^2 + O(|t|^3) \end{aligned}$$

for all $t \in \mathbb{R}$. Therefore

$$\|T(e) + tT((L(a, e) - L(e, a))e) + \frac{t^2}{2}T((L(a, e) - L(e, a))^2e)\|^2 \leq 1 + O(|t|^3)$$

$$\|T(e) - tT((L(a, e) - L(e, a))e) + \frac{t^2}{2}T((L(a, e) - L(e, a))^2e)\|^2 \leq 1 + O(|t|^3)$$

Now from the parallelogram law we obtain that

$$\|T(e) + \frac{t^2}{2}T((L(a, e) - L(e, a))^2e)\|^2 + \|tT((L(a, e) - L(e, a))e)\|^2 \leq 1 + O(|t|^3) \quad (t.1)$$

Since

$$\begin{aligned} \|T(e) + \frac{t^2}{2}T((L(a, e) - L(e, a))^2e)\|^2 &\geq \|T(e) + \frac{t^2}{2}T((L(a, e) - L(e, a))^2e)/T(e)\|^2 = \\ &= (1 + \frac{t^2}{2}\xi((L(a, e) - L(e, a))^2e))^2 \end{aligned}$$

(t.1) shows that

$$t^2\|T((L(a, e) - L(e, a))e)\|^2 \leq t^2\xi(-(L(a, e) - L(e, a))^2e) + O(|t|^3)$$

and

$$\|T((L(a, e) - L(e, a))e)\|^2 \leq \xi(-(L(a, e) - L(e, a))^2e) + O(|t|) \quad (t \in \mathbb{R})$$

And letting $t \rightarrow 0$ we obtain that

$$\|T((L(a, e) - L(e, a))e)\|^2 \leq \xi(-(L(a, e) - L(e, a))^2e) \quad (t.2)$$

Now we must compute $\xi(-(L(a, e) - L(e, a))^2e)$. In this part of the proof Lemma 2.4 and Peirce Arithmetic play a very important role. $-(L(a, e) - L(e, a))^2e = -\{a, e, \{a, e, e\}\} + \{a, e, \{e, a, e\}\} + \{e, a, \{a, e, e\}\} - \{e, a, \{e, a, e\}\}$. By Peirce Arithmetic $\{\{e, a, e\}, a, e\} = \{e, \{a, e, a\}, e\}$. Now using Peirce Arithmetic, Lemma 2.4 and Lemma 2.3

$$\begin{aligned} \xi(\{e, a, \{e, a, e\}\}) &= \xi(\{e, \{a, e, a\}, e\}) = \xi(\{\{a, e, a\}, e, e\}) \\ &= \xi(\{\{a_1, e, a_1\}, e, e\}) + 2\xi(\{\{a_1, e, a_2\}, e, e\}) + \xi(\{\{a_2, e, a_2\}, e, e\}) \\ &= \xi(\{\{a_2, e, a_2\}, e, e\}) = \xi(\{a_2, e, a_2\}) = \xi(\{a, e, a\}) \quad (t.3) \end{aligned}$$

By the same method

$$\begin{aligned} \xi(\{a, e, \{e, a, e\}\}) &= \xi(\{a_1, e, \{e, a_2, e\}\} + \{a_2, e, \{e, a_2, e\}\}) = \xi(\{a_2, e, \{e, a_2, e\}\}) \\ &= 2\xi(\{a_2, a_2, e\}) - \xi(\{e, \{a_2, a_2, e\}, e\}) = 2\xi(\{a_2, a_2, e\}) - \xi(\{\{a_2, a_2, e\}, e, e\}) \end{aligned}$$

$$= \xi(\{a_2, a_2, e\}) \quad (t.4)$$

$$\begin{aligned} \xi(\{e, a, \{a, e, e\}\}) &= \xi(\{\{a, e, e\}, a, e\}) = \xi(\{a, \{a, e, e\}, e\}) \\ &= \xi(\{a_2, a_2, e\}) + \frac{1}{2}\xi(\{a_1, a_1, e\}) \quad (t.5) \end{aligned}$$

and

$$\xi(\{a, e, \{a, e, e\}\}) = \xi(\{a, e, a\}) \quad (t.6)$$

We conclude from (t.3), (t.4), (t.5) y (t.6) that

$$\begin{aligned} \xi(-(L(a, e) - L(e, a))^2 e) &= -2\xi\{a, e, a\} + 2\xi\{a_2, a_2, e\} + \frac{1}{2}\xi\{a_1, a_1, e\} \\ &= 2\xi\{\{e, e, a\}, \{e, e, a\}, e\} - 2\xi\{a, e, a\} \end{aligned}$$

Finally from (t.2) we have

$$\|T(\{a, e, e\} - \{e, a, e\})\|^2 \leq 2\xi(\{\{e, e, a\}, \{e, e, a\}, e\} - \{a, e, a\}) \quad (a \in E) \quad (t.7)$$

Since e is a complete tripotent, $L(e, e)$ is a bijection. Hence if we denote $x = \{e, e, a\}$, Peirce Arithmetic and (t.7) show that

$$\|T(x - \{e, x, e\})\|^2 \leq 2\xi(\{x, x, e\} - \{x, e, x\}) \quad (x \in E) \quad (t.8)$$

In particular, if $x_1 \in E_1(e)$ by Peirce Arithmetic and Lemma 2.3 $\{e, x_1, e\} = \{x_1, e, x_1\} = 0$ then from (t.8)

$$\|T(x_1)\|^2 \leq 2\xi\{x_1, x_1, e\} = 2\|x_1\|_\xi^2 \quad (t.9)$$

Similarly if $x^{-1} \in E^{-1}(e)$ ($\{e, x^{-1}, e\} = -x^{-1}$) then

$$\|T(x^{-1})\|^2 \leq \xi\{x^{-1}, x^{-1}, e\} = \|x^{-1}\|_\xi^2 \quad (t.10)$$

The problem is that from (t.8) we are unable to estimate $\|T(x^1)\| \leq M\|x^1\|_\xi$ for all x^1 in the JBW-algebra $E^1(e)$ (with unit e), and some positive constant M , as we have made before for $x_1 \in E_1(e)$ and $x^{-1} \in E^{-1}(e)$. At this point we apply Theorem 3.2 to obtain a state ψ of $E^1(e)$ such that

$$\|T(x^1)\|^2 \leq 8\psi(x^1 \circ x^1) = 8\psi\{x^1, x^1, e\} = 8\|x^1\|_\psi^2 \quad (x^1 \in E^1(e)) \quad (t.11)$$

We can see $\psi = \psi P^1(e)$ as a linear functional on E using Lemma 2.3.

Let $x \in E$ from (t.9), (t.10) and (t.11) $\|T(x)\| \leq \|T(x_1)\| + \|T(x^{-1})\| + \|T(x^1)\| \leq \sqrt{8}\|x^1\|_\psi + \|x^{-1}\|_\xi + \sqrt{2}\|x_1\|_\xi$. Hence Lemma 4.2 shows that

$$\begin{aligned} \|T(x)\| &\leq \sqrt{8}\|x\|_\psi + \|x\|_\xi + \sqrt{2}\|x\|_\xi \\ &= \sqrt{8}\|x\|_\psi + (1 + \sqrt{2})\|x\|_\xi \leq \sqrt{8}(\|x\|_\psi + \|x\|_\xi) \\ \|T(x)\|^2 &\leq 8(\|x\|_\psi^2 + \|x\|_\xi^2 + 2\|x\|_\psi\|x\|_\xi) \leq \\ &\leq 16(\|x\|_\psi^2 + \|x\|_\xi^2) = 16(\psi\{x, x, e\} + \xi\{x, x, e\}) = \\ &= 32\frac{\psi + \xi}{2}\{x, x, e\} = 32\varphi\{x, x, e\} = 32\|x\|_\varphi^2 \end{aligned}$$

where $\varphi = \frac{\psi + \xi}{2}$ is a norm one functional on E and $\varphi(e) = 1$. $\square$

Remark 4.4 *In the setting of the proof of the previous Theorem, we can see that if we can estimate $\|T(x^1)\|^2 \leq M^2\|x^1\|_\xi^2$ for $x^1 \in E^1(e)$ (where $\xi(x) := \langle T(x)/T(e) \rangle$) then it is easy to obtain that $\|T(x)\| \leq (1 + \sqrt{2} + M)\|x\|_\xi$. It is trivial to estimate $\|T(x^1)\|^2 = \|x^1\|_\xi^2$ when e is a minimal tripotent ($E^1(e) = \mathbb{R}e$).*

So if E is a real JB^ -triple and e is a minimal tripotent of E . From [PS] $E_2(e)$ is a real Hilbert space (with inner product $\langle a, b \rangle := \frac{1}{4}(\{a + b, a + b, e\} - \{a - b, a - b, e\})$). $Q(e) : E \rightarrow E_2(e)$ is a bounded linear operator with $\|Q(e)\| = 1 = \|Q(e)e\|$ so from the previous Remark*

$$\|Q(e)x\| \leq (2 + \sqrt{2})(\xi\{x, x, e\})^{\frac{1}{2}} \quad (x \in E)$$

where

$$\begin{aligned} \xi(x) &= \langle Q(e)x/e \rangle \\ &= \frac{1}{4}(\{Q(e)x + e, Q(e)x + e, e\} - \{Q(e)x - e, Q(e)x - e, e\}). \end{aligned}$$

From the previous theorem 4.3 we can now prove the analogous of theorem 1.1 for real JB^* -triples which is the main result of the paper.

Theorem 4.5 *Let E be a real JB^* -triple and let H be a real Hilbert space. Then the set $LG(E, H)$ is norm dense in the set of all bounded linear operator from E to H .*

Proof

The proof straightforward from Theorem 4.3 and the norm denseness of the set of all bounded linear operators whose second transpose attains its norm [L]. $\square$

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